

1 Article

2 Conditions for production of composite material based 3 on aluminum and carbon nanofibers (CNFs) and its 4 physic-mechanical properties

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10 Received: 23 November 2018; Accepted: 2018; Published: 2018

11 **Abstract:** Aluminum-based metallic matrix composites reinforced by carbon nanofibers (CNF) are
12 important precursors for development of new light and ultralight materials with enhanced properties
13 and high specific characteristics. In the present work, powder metallurgy technique was applied for
14 production of composites based on reinforcement of aluminum matrices by CNFs of different
15 concentrations (0~2.5 weight%). CNFs were produced by chemical vapor deposition (CVD) and
16 mechanical activation. We determined that *in situ* synthesis of carbon nanostructures with subsequent
17 mechanic activation provides satisfactory distribution of nanofibers and homogeneous composite
18 microstructure. Introduction of 1 vol% of flux (0.25 NaCl + 0.25 KCl + 0.5 CaF₂) during mechanic
19 activation helps to reduce the strength of the contacts between the particles. Also, better reinforcement
20 of alumina particles and strengthening the bond between CNFs and aluminum are observed due to
21 alumina film removal. Introduction of pure aluminum into mechanically alloyed powder provides the
22 possibility to control composite durability, plasticity and thermal conductivity.

23 **Keywords:** composite; metallic matrix; aluminum; powder; surface; pressing; dispersed phase,
24 carbon nanofibers; durability; thermal conductivity

27 1. Introduction

28 In many areas, particularly in the aerospace and automotive industries, the replacement of steel
29 with light and ultra-light composites results in reduced weight and improved fuel efficiency. Industry
30 needs to further reduce the vehicles weight while maintaining their structural integrity and safety. This
31 explains a great interest in the creation of composites for complex structural components. This trend
32 will undoubtedly continue in the 21st century, as the transport sector of the economy needs a global
33 search for ways to reduce CO₂ emissions.

34 From this point of view, the strategic area of material chemistry is development of synthetic works
35 to gain light and ultralight composites with improved properties and high specific characteristics.

36 Recently, metal matrix composites reinforced with carbon nanotubes (CNTs) and carbon
37 nanofibers (CNFs) became the subject of many studies [1]. This is due to the unique properties of CNTs,
38 e.g. the strength of up to 63 GPa [2] and the thermal conductivity of 3000 W/m·K [3]. Uniform
39 distribution of carbon nanotubes in the metal matrix remains a challenge due to their high propensity
40 for agglomeration. There are the attempts to solve the problem on the composite preparation stage and
41 on compaction stage [1]. Most traditional methods of mixing of the matrix and CNTs powders are a
42 mechanical grinding in a ball mill [4–8], flake powder metallurgy [9, 10] molecular level mixing [11], *in*
43 *situ* CNT growth on metal powders [12–14] and spray drying of small metal particles with CNTs [15].

44 To create metal-based compact materials reinforced by CNTs, technologies of powder metallurgy [16,
45 17], liquid metallurgy [18], galvanic plating [19], sintering in spark plasma [20, 21], and thermal
46 spraying are used [22, 23].

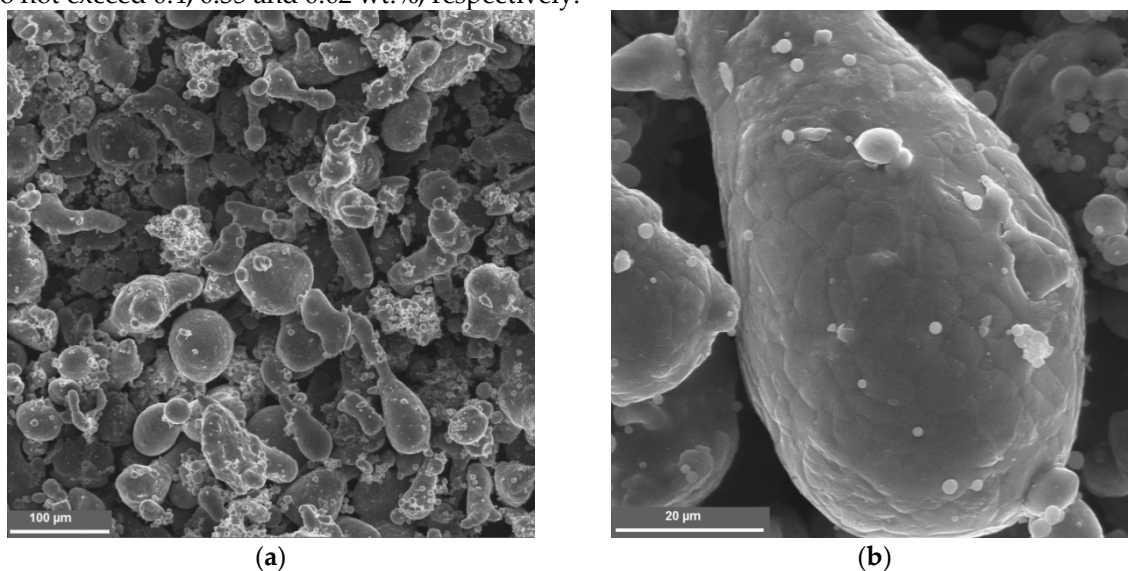
47 Significant problem here is the difficulty to reach a balance between strength and ductility for
48 Al-CNT composites. If the enhanced tensile strength was obtained by addition of CNTs then the
49 ductility of composites was low [24–25]. This effect is attributed to the difficulties in achieving
50 metal-CNT composites with uniform CNTs dispersion in the matrix and the strong interfacial bonding
51 between the CNTs and the Al matrix.

52 In the current paper, we investigate the possibility to produce the aluminum-based composites
53 reinforced with carbon nanostructures with different CNT content (0–2.5 wt.%) by powder metallurgy
54 and mechanical activation. Carbon nanostructures were obtained by chemical vapor deposition (CVD)
55 directly onto matrix aluminum particles (*in situ*) with subsequent milling of composite in planetary ball
56 mill. We expected that such approach leads to uniform distribution of dispersed phase CNFs) in
57 aluminum matrix along with strong bond between CNF and aluminum matrix phases.
58

59 2. Materials and Methods

60 2.1. Materials

61 The initial material used was pulverized aluminum powder of PA-4 mark, GOST 6058-73 standard
62 with particle size less than 120 μm and purity of 99.5 wt.%. Amounts of the main impurities (Si, Fe, Cu)
63 do not exceed 0.4, 0.35 and 0.02 wt.%, respectively.



64 **Figure 1.** Microstructure of the composite particle.

65 The powder particles had a teardrop shape and a rough surface (Figure 1).

67 2.2. Preparation of aluminum-CNFs composites

68 To grow carbon nanostructures, a nickel- or cobalt-containing catalyst with 0.035 wt.% Ni or Co
69 was deposited on the powder surface. The metal source was either $\text{Ni}(\text{NO}_3)_2 \cdot 6\text{H}_2\text{O}$ or $\text{Co}(\text{NO}_3)_2 \cdot 6\text{H}_2\text{O}$
70 that are highly soluble in water and decompose to NiO and CoO at 300–350°C. The aluminum powder
71 was mixed with a 0.01% aqueous solution of Ni or Co nitrate (10 ml per 1 g powder). The solution was
72 stirred for 10 min and then carefully dried at 100°C in a drying chamber. Then the powder was heated
73 additionally in a hydrogen atmosphere for complete reduction of salts to metallic nickel or cobalt. The
74 reactor was blown with argon before and after the synthesis (flow rate of 200 ml/min). $\text{H}_2/\text{C}_2\text{H}_2$ ratio in
75 the gas mixture during the synthesis was 8.31. The temperature of the synthesis was 550°C.

76 Mechanical activation of the powder was carried out in a micro-mill PULVERISETTE 7 premium
77 line. The 2.5 wt.%-Al-CNTs composite powders (with the addition of 1 vol.% flux 0.25 NaCl + 0.25 KCl +

78 0.5 CaF₂) were placed in 80 ml stainless steel jars containing stainless steel balls of 10 mm diameter
79 (ball-to-powder ratio = 10:1). The powders were milled under argon at 500 rpm for 180 min. For
80 variation of CNFs content, the mechanically activated Al-CNFs samples were mixed with a certain
81 amounts of pure Al powder at 500 rpm for 180 min.

82

83 2.3. Powder compaction

84 The specimens were compacted by the cold pressing at a pressure of 800 MPa with subsequent
85 heating with mold up to 480 °C with final hot pressing at 600 MPa.

86

87 2.4. Characterization

88 The structure and morphology of the powder materials was studied by scanning electron
89 microscopy (TESCAN Mira-3M).

90 Metallographic studies were carried out using an optical microscope (Carl Zeiss Observer D1m).
91 The thermal conductivity of the specimens was computed from the measured values of the thermal
92 diffusivity by an equation:

$$93 \lambda = \alpha \rho c_p, \quad (1)$$

94 where c_p [J(g·K)] is the specific heat capacity of the specimen. The accuracy of the measurements
95 was 2.3% for the thermal diffusivity, 4% for the heat capacity, and 5% for the thermal conductivity.

96 The density of the sintered specimens was determined by hydrostatic weighing.

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98 2.5. Mechanical tests

99 Brinell hardness (HB) was tested using a hard alloy ball 5 mm in diameter at a load of 98 N.
100 Bending tests were carried out in accordance with GOST 14019-80 "Metals and alloys. Methods of
101 testing for bending".

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103 2.6. Specific surface area and porosity measurement

104 Specific surface area was calculated from physical adsorption data that obtained using volumetric
105 analyzer-porometer Micromeritics ASAP2020 MP. Preliminary adsorption isotherms measurements
106 were carried out using standard nitrogen adsorption technique at 77 K; specific surface areas were
107 calculated by BET and total pore volumes, available for adsorption (so called Gurvich volume) at
108 relative pressure of 0.995. Due to the small specific surface area of the samples, additional
109 measurements using krypton as an adsorbate were carried out at 77 K to clarify the values of specific
110 surfaces, the specific surface was calculated using BET. Before tests, the specimens were vacuum
111 degassed at 250°C for at least 14 h.

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113 2.7. Raman spectral studies

114 Raman spectra were recorded using Raman spectrometer Bruker Senterra T64000 with excitation
115 wavelength of 488 nm in the range 100-3500 cm⁻¹ Spectra were normalized using SVN technique with
116 subsequent baseline correction.

117 3. Results and discussion

118 Study of the specific surface area and porosity of the initial samples of aluminum with CNT on the
119 surface (Table 1) showed that CNTs assist both surface and porosity increase. Flux introduction leads to
120 decrease of both specific surface area and porosity. It can be explained by the blocking of surface
121 available for adsorbate by flux particles.

122

123 **Table 1.** Specific surface and porosity of aluminum/CNT samples

124

Specimen	Specific surface area, m^2/g (BET, Kr, 77 K)	Specific surface area, m^2/g (BET, N_2 , 77 K)	Specific porosity, cm^3/g (Gurvich, N_2 , 77 K)
Al-Ni	0.125	–	–
Al-Ni-1%CNT	3.71	3.58	0.014
Al-Ni-1%CNT+flux	0.42	0.42	0.003
Al-Ni-2%CNT	4.70	4.26	0.023
Al-Ni-3%CNT	5.04	3.85	0.013

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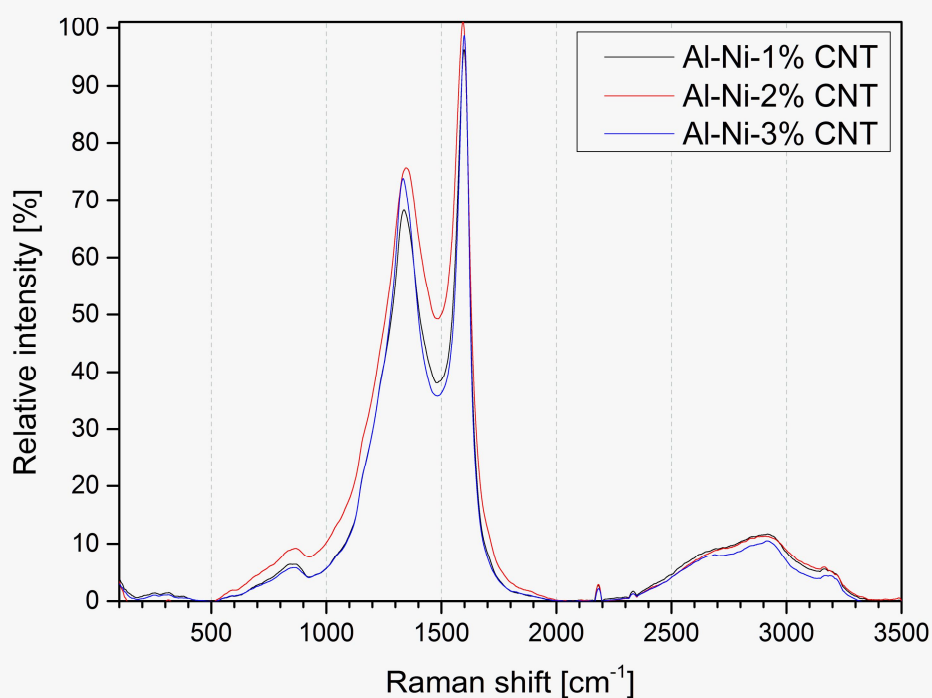
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Raman spectra of all three specimens of aluminum with CNT-modified surface (Figures 2–4) are characterized by typical peaks for graphite-like materials: G-peak at ca. 1580 cm^{-1} corresponding to intraplanar C-C bonds vibrations and D-peak at ca. 1350 cm^{-1} indicating defects and disorder in carbon nanostructures. Also a wide 2D(G^*) peak at $2500\text{--}2800 \text{ cm}^{-1}$ (two-phonon process of the second order) is also characteristic of graphite-like materials containing sp^2 -hybridized carbon.



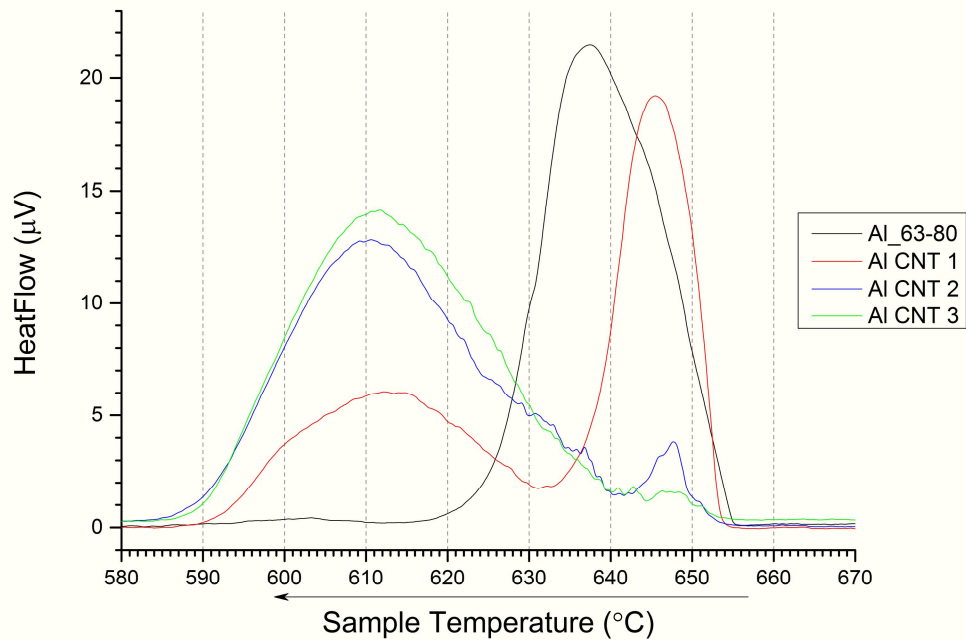
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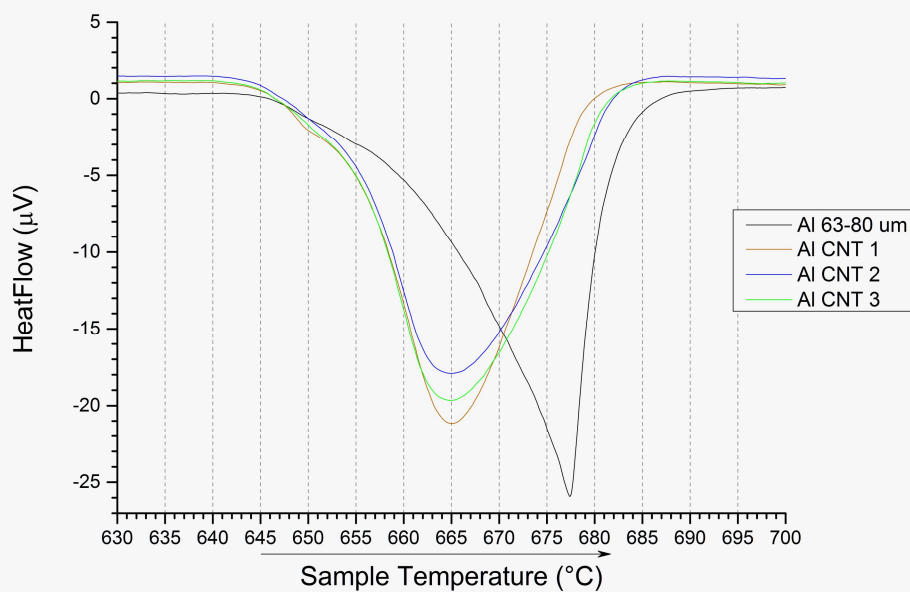
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Figure 2. Raman spectra of aluminum with various weight content of CNF



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Figure 3. DSC curves of aluminum with various CNF content during cooling near Al melting point

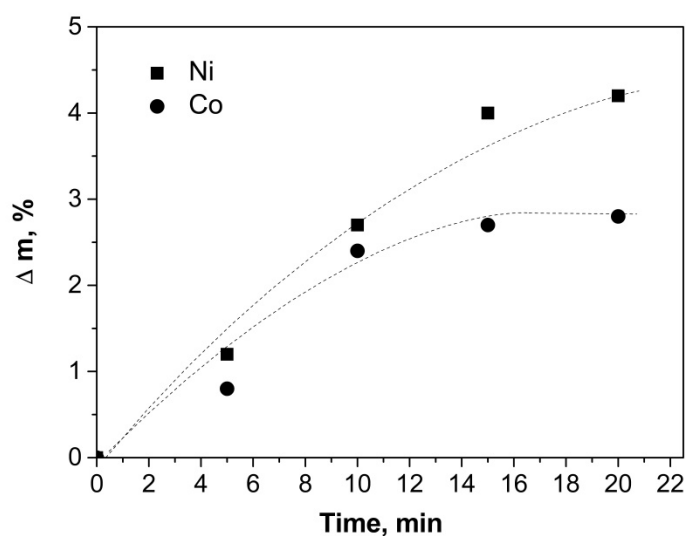


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Figure 4. DSC curves of aluminum with various CNF content during heating near Al melting point

To obtain good distribution of carbon nanostructures in the matrix we deposited a nickel and cobalt catalyst onto the surface of the aluminum particles from aqueous solutions. Right before the synthesis, the specimens coated with the catalyst were annealed additionally in a hydrogen environment for 10 min at 550°C to provide decomposition of the nickel or cobalt nitrate and reduction of the oxide to metallic nickel or cobalt that served as catalyst of carbon nanofibers growth on the aluminum powder surface. The content of the metal catalyst was 0.02%.

Carbon nanostructures were synthesized at 550°C for 5–20 min. Figure 5 presents the dependence of the specimen mass variation with respect to the initial weighed portion of aluminum on the synthesis time.

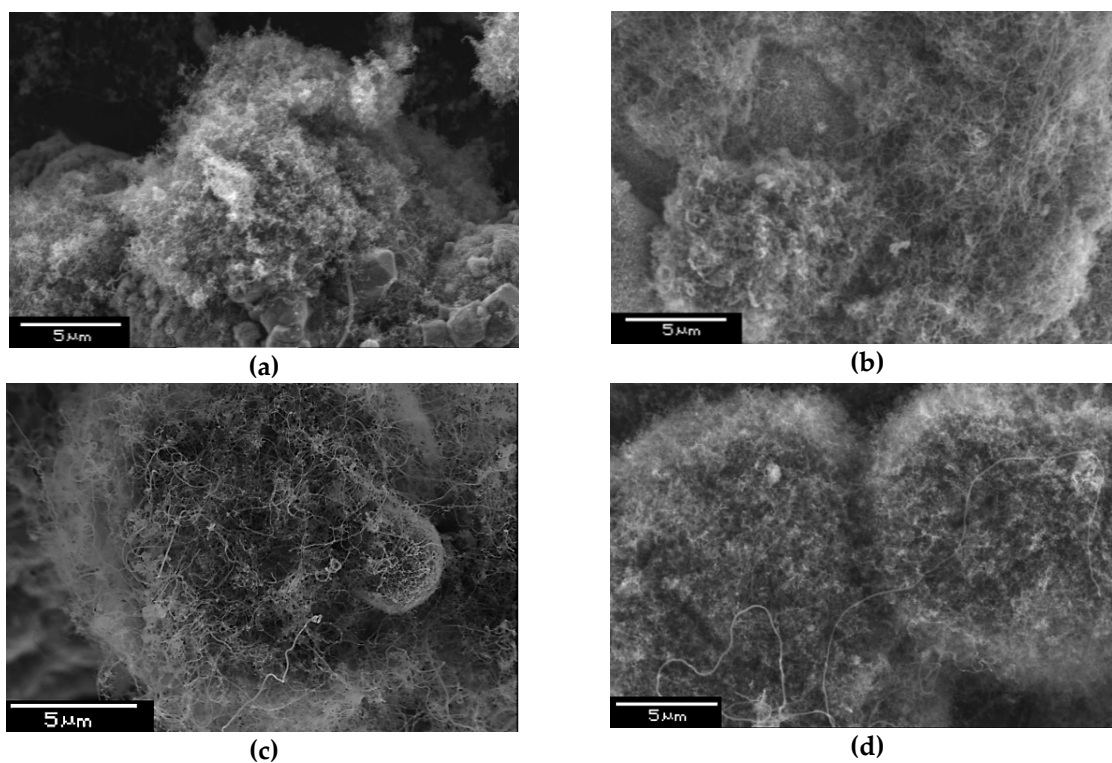


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160 **Figure 5.** Dependence of the specimen mass variation on the synthesis time at 550°C with Ni and Co catalysts.

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162 With increase of the synthesis time the growth rate of the carbon nanostructures decreases due to
 163 catalyst deactivation, i.e., disappearance of the dominant nucleation places of carbon nanostructures on
 164 the powder surface. Nickel catalyst provides a greater amount of carbon. Deactivation of cobalt catalyst
 165 occurs faster than nickel one. Rapid deactivation of the catalyst resulted in the production of Co
 166 nanofibers with a shorter length. Figure 6 shows SEM images of synthesized aluminum-carbon
 167 nanofibers composites.
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169 **Figure 6.** Microstructure of composites synthesized with Ni catalyst (a) and Co catalyst (b) (synthesis time 10
 170 min) and with Ni catalyst (c) and Co catalyst (d) (synthesis time 20 min)

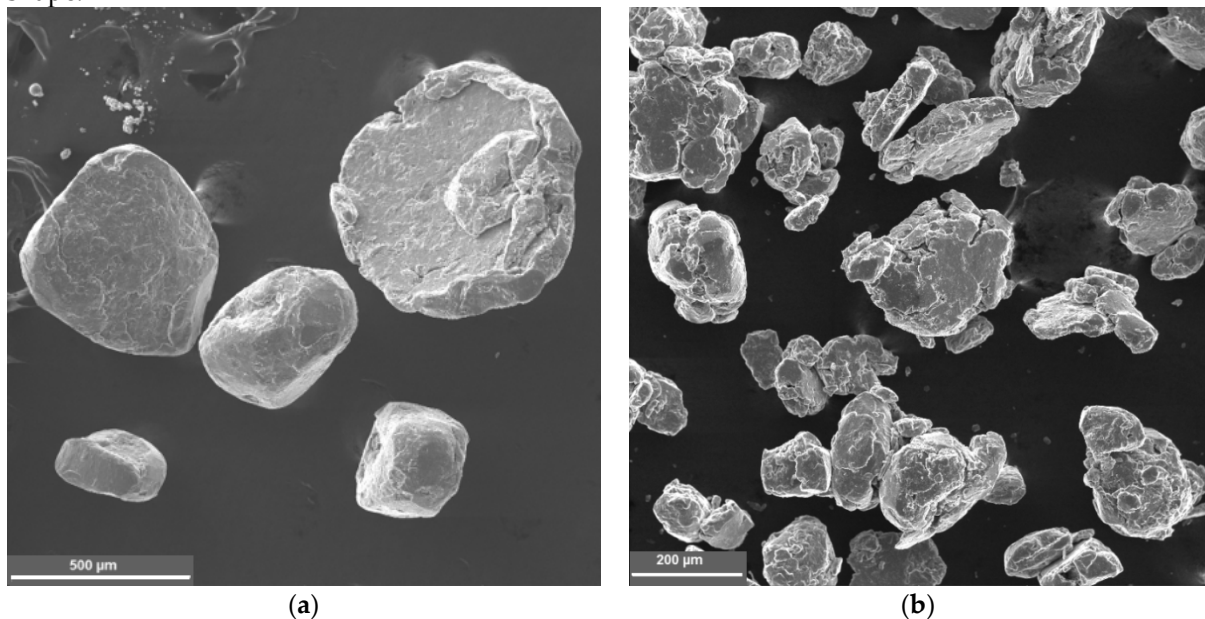
171 If we compare the dependence of weight gain with SEM images, one can see for 10 minutes
172 samples that the structures are similar and carbon amount is approximately equal. Increasing the
173 synthesis time to 20 minutes leads to the fact that the sample with a nickel catalyst has a higher carbon
174 content, and the length of the carbon structures is greater. Co catalyst differs from Ni-containing one.
175 Decontamination process in the case of cobalt occurs quicker and after 10 minutes significant weight
176 gain is not observed. When nickel is used, the increase in carbon content is due to an increase in the
177 fibers length (Figure 6 c,d). Next, we conducted experiments on powders with nanotubes obtained on
178 nickel and cobalt catalysts. At equal carbon content, physical and mechanical characteristics coincided.
179 Variation of nanotubes length must influence the physic mechanical properties, however, for our
180 technique to achieve a big difference in length with equal contents is quite a difficult task.

181 At the next stage, a powder with short CNFs was selected for further mechanical activation.
182 Mechanical activation of the powder was carried out with the aim of reinforcing the particles
183 throughout the volume by carbon nanofibers.

184 Grinding was carried out under argon either without any additives or with addition of flux. Flux
185 was added to destroy the oxide film on the surface of the original aluminum particles and to prevent
186 significant welding of particles.

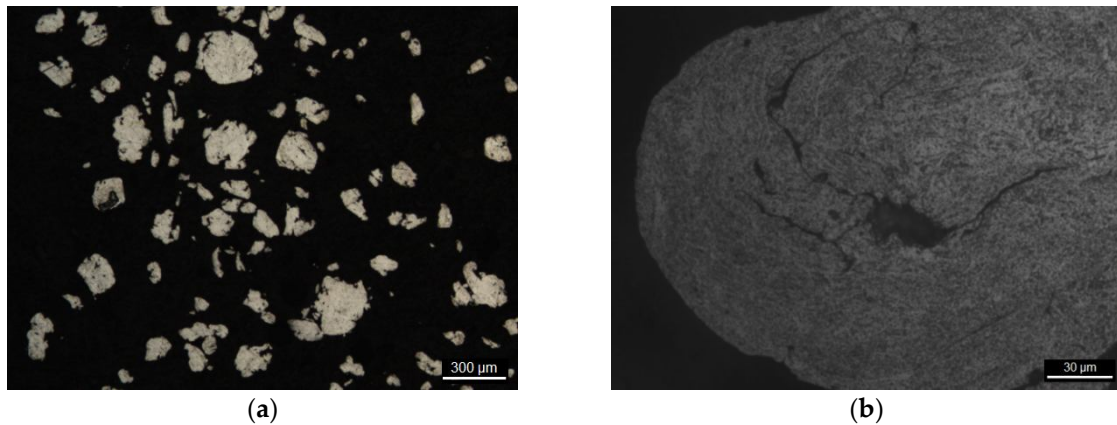
187 The KCl-NaCl-LiF system flux was introduced to break the oxide film on the aluminum surface
188 during the grinding process. We used Al powder with 2.5 ± 0.2 wt % CNFs content.

189 The results are shown in Figure 7. Particles up to 500 μm in size that have almost spherical shape
190 were obtained without flux. With the flux particle size is less than 200 microns and has a plate-like
191 shape.



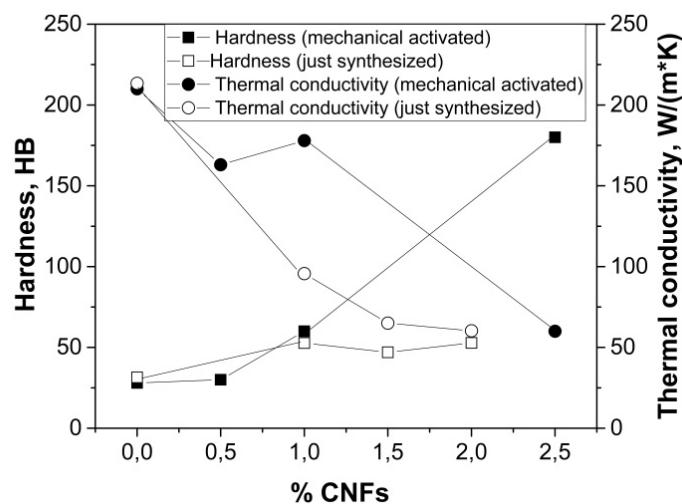
192 **Figure 7.** Microstructure of composites after treatment in a planetary mill

193 After treatment in a planetary mill, the particles have a coarse morphology and a size of 50-200 μm
194 (Fig 6(a)). Investigation of the microstructure of the composite particles shows a good carbon
195 distribution (Fig 7(b)). The microhardness of particles was 200 HV (microhardness of the particles
196 mechanically activated without flux was 100-120 HV). A significant increase in hardness when using
197 flux can be explained by the formation of stronger bond between the CNFs and aluminum due to oxide
198 film removal.



199 **Figure 8.** Structural characteristics of the composite particle microstructure.

200 Powder material after mechanical activation with flux was compacted by the hot pressing. To
 201 vary the carbon content in this study, pure aluminum was added to the powder after the mechanical
 202 activation and mixed for additional 15 minutes under the same conditions. Thus, samples with 1 and
 203 0.5 wt.% carbon were obtained. Hardness and thermal conductivity of materials were investigated
 204 (Figure 9). For comparison, we provide data for the specimens compressed immediately after synthesis
 205 and ones not subjected to mechanical activation [27].



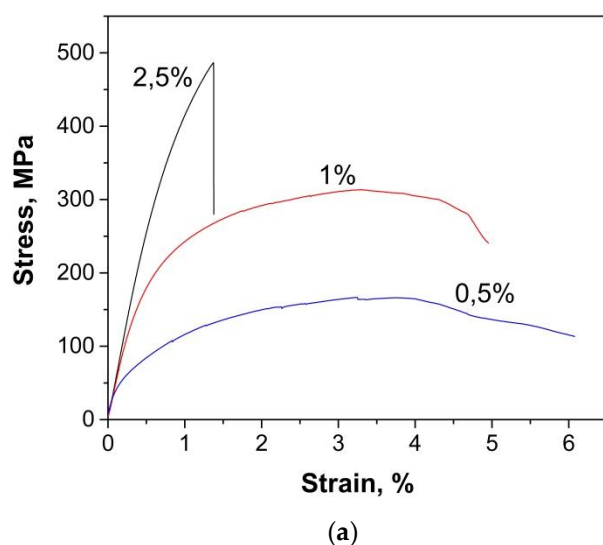
206 **Figure 9.** Hardness and thermal conductivity vs. carbon content

208 Hardness of the material with 2.5 wt.% CNF reached 180 HV. Its thermal conductivity was 60
 209 W/(m·K), that corresponds approximately to the value of the specimens with 1–2 wt.% CNF without
 210 mechanic activation. These low values are explained by the appearance of a thermal barrier at the
 211 interface and by the aluminum carbide formation [27]. Dilution of the composite powder with pure
 212 aluminum leads to a hardness decrease and a sharp thermal conductivity increase. At 0.5–1% CNF,
 213 thermal conductivity of 160–180 W/(m·K) is ca. 70% from the value for pure Al (237 W/(m·K) at 300 K
 214 [28]). If nanofibers are located at the surface, thermal conductivity was 96 W/(m·K) at similar hardness
 215 (ca. 60 HV). The increase in thermal conductivity is due to increased Al–Al contact in the composite.

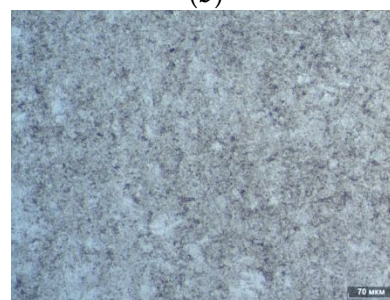
216 To determine the plastic characteristics, the composites were tested for bending. Figure 10(a–d)
 217 demonstrates bending tests results along with composites structures.

218 The composite with a uniform fine structure (Figure 10b) has a sufficiently high bending strength
 219 (485 MPa); at the same time, plasticity is practically absent. Composites diluted with pure Al (Figure 10
 220 c,d) look like grains of pure aluminum surrounded by reinforced particles. At small CNFs amount,

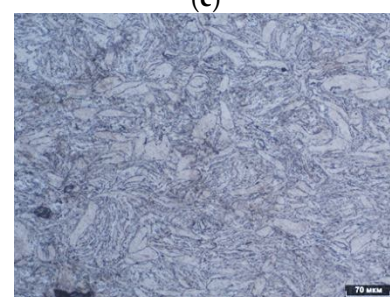
221 matrix particles are deformed and represent plates. The strength is reduced significantly down to 310
 222 and 165 MPa for 1 and 0.5 wt%, respectively. Corresponding relative elongation was 4 and 5.8%. Low
 223 strength at a relative elongation of 5.8% (sample with 0.5 wt%) suggests that additional compacting is
 224 required by hot rolling or extrusion.



(b)



(c)



(d)

225 **Figure 10.** The results of three point flex test of Al-CNFs composites (a) and optical micrographs of the
 226 polished cross sections of (b) 2.5 wt%, (c) 1 wt% and (d) 0.5 wt% Al –CNTs composites.

227 Thus, during the study we determined that the *in situ* synthesis of nanostructures and subsequent
 228 mechanical activation provides a good distribution of nanofibers and a homogeneous microstructure of
 229 the composite.

230 The introduction of a flux during mechanical activation contributes to the reduction of adhesion
 231 between the particles, the better reinforcement aluminum particles and the connection between the
 232 CNFs and aluminum due to the oxide film removal.

233 The introduction of pure aluminum particles into the mechanically alloyed powder allows varying
 234 the strength, ductility and thermal conductivity of the composite for specific applications. This
 235 approach will provide a uniform distribution of the dispersed phase in the aluminum matrix and
 236 strengthen the binding between CNF and the matrix.

237 4. Conclusions

238 The paper presents the study of the powder metallurgy production of aluminum-based
 239 composites, reinforced by carbon nanostructures with different amount of CNFs. The main conclusions
 240 are as follows:

241 (1) Gas phase technique for carbon nanostructures catalytic synthesis directly on the Al
 242 microparticles surfaces allows to gain uniform distribution of carbon in the matrix.

- 243 Deactivation of cobalt catalyst starts earlier than that of nickel catalyst, but with an equal
244 carbon content, the type of catalyst does not affect the physical and mechanical characteristics.
- 245 (2) Mechanic activation provides well nanofibers distribution as well as homogeneous composite
246 microstructure. The introduction of flux during mechanical activation helps to reduce the
247 weldability of particles; also, better reinforcement of aluminum particles and the connection
248 between CNFs and aluminum are reached by removing the oxide film.
- 249 (3) Strength, ductility and thermal conductivity of the composite can be varied by introducing
250 pure aluminum in different concentrations.
- 251

252 **Author Contributions:** Andrei I. Rudskoy and Oleg V. Tolochko conceived and designed the experiments; Tatiana
253 S. Koltsova and Elizaveta V. Bobrynina performed the experiments and analyzed the data; Elena Zemtsova, Sergey
254 Kirichenko conducted physico-chemical studies and discussed them, Oleg V. Tolochko and Vladimir Smirnov
255 wrote the paper.

256 **Funding:** This study was supported by the State Key Program of the National Natural Science of China (Grant No. 51734009)
257 and by the grant of St. Petersburg State University, Event 3-2018 (id: 26520317).

258 **Acknowledgments:** The studies were performed at Research parks of St. Petersburg State University «Center for
259 Optical and Laser Research» and «Center for Innovative Technologies of Composite Nanomaterials»

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261 **Conflicts of Interest:** The authors declare no conflict of interest.

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